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***U*-POINTS AND QUASI-*U*-POINTS IN ORLICZ FUNCTION SPACES**

ABSTRACT: In this paper, notions of *U*-points and quasi-*U*-points are defined. There is given a characterization of *U*-point and quasi-*U*-point in Orlicz function spaces equipped with the Luxemburg norm.

KEY WORDS: Orlicz function space, *U*-point, quasi-*U*-point, locally *U*-space, quasi-*U*-space

**1. INTRODUCTION**

The concept of *U*-spaces was introduced by Gao Ji and Lau Kasing in 1991 (see [3]). *U*-spaces have uniformly normal structure and many other interesting properties. In this paper we introduce the notation *U*-points and quasi-*U*-points. That kind of points are necessary to define local *U*-spaces and quasi-*U*-spaces. We give a complete characterization of *U*-points and quasi-*U*-points in Orlicz function spaces with the Luxemburg norm. Basing on that characterization, we obtain some criteria for an Orlicz function space to be the local-*U*-space and quasi-*U*-space.

Let  $S(X)$  be the unit sphere of a Banach space  $X$ . A point  $x \in S(X)$  is called an *U*-point provided for any sequence  $\{x_n\}_{n=1}^{\infty} \subset S(X)$ , satisfying  $\|x_n + x\| \rightarrow 2$  we have  $f(x_n - x) \rightarrow 0$  uniformly for all  $f \in \nabla_x$ , where  $\nabla_x$  denotes the set of all supporting functionals of  $x$ . A point  $x \in S(X)$  is called a quasi-*U*-point if for any  $y \in S(X)$ , such that  $\|x + y\| = 2$ , we have  $f(y - x) = 0$  for all  $f \in \nabla_x$ . Obviously, every *U*-point is a quasi-*U*-point. A Banach space  $X$  is said to be a local (resp. quasi-) *U*-space if each point  $x \in S(X)$  is an *U* (resp. a quasi-*U*)-point.

Denote by  $N$  and  $R$  the sets of natural and real numbers, respectively. Let  $(G, \Sigma, \mu)$  be a measure space with a finite and atomless measure  $\mu$ . Denote by  $L^0$  the set of all  $\mu$ -equivalence classes of real valued measurable functions defined on  $G$ .

A map  $M: R \rightarrow [0, \infty)$  is said to be an *Orlicz function* if  $M$  is vanishing at 0, even, convex and not identically equal to 0.

Denote by  $p(u)$  and  $p_-(u)$  the right and left derivative of  $M(u)$ , respectively. An Orlicz function  $M$  is called an *N-function* if

$$\lim_{u \rightarrow \infty} \frac{M(u)}{u} = \infty \quad \text{and} \quad \lim_{u \rightarrow 0} \frac{M(u)}{u} = 0.$$

By the Orlicz function space  $L_M$  we mean

$$L_M = \left\{ x \in L^0 : \rho_M(cx) = \int_G M(cx(t)) d\mu < \infty \text{ for some } c > 0 \right\}$$

equipped with so called the Luxemburg norm

$$\|x\| = \inf \left\{ \varepsilon > 0 : \rho_M\left(\frac{x}{\varepsilon}\right) \leq 1 \right\}$$

or with equivalent

$$\|x\|_0 = \inf_{k > 0} \frac{1}{k} (1 + \rho_M(kx))$$

called the Orlicz norm. To simplify denotations, we put  $L_M = (L_M, \|\cdot\|)$  and  $L_M^0 = (L_M, \|\cdot\|_0)$ .

For every Orlicz function  $M$  we define the complementary function  $N: R \rightarrow [0, \infty)$  by the formula

$$N(v) = \sup_{u > 0} \{u|v| - M(u)\}$$

for every  $v \in R$ . The complementary function  $N$  is also an Orlicz function.

We say that the Orlicz function  $M$  satisfies the  $\Delta_2$ -condition if there exist a constant  $k \geq 2$  and  $u_0 > 0$  such that

$$M(2u) \leq kM(u)$$

for every  $|u| \geq u_0$ .

We say that the Orlicz function  $M$  satisfies the  $\Delta_2$ -condition if its complementary function  $N$  satisfies the  $\Delta_2$ -condition.

We say that the Orlicz function  $M$  is strictly convex (write  $M \in SC[0, \infty)$ ) if for any  $u \neq v$  and  $\alpha \in (0, 1)$  we have

$$M(\alpha u + (1-\alpha)v) < \alpha M(u) + (1-\alpha)M(v).$$

For more details we refer to [5].

An interval  $[a, b]$  is called a structural affine interval of  $M$ , or simply SAI of  $M$ , provided that  $M$  is affine on  $[a, b]$  and it is not affine on either  $[a - \varepsilon, b]$  or  $[a, b + \varepsilon]$  for any  $\varepsilon > 0$ . Let  $[a_i, b_i]$  ( $i = 1, 2, \dots$ ) be all the SAIs of  $M$ . Denote by

$S_M = R \setminus (\bigcup_i (a_i, b_i))$  the set of strictly convex points of  $M$ . Moreover, for any  $x \in L_M$  we define

$$\Theta(x) = \inf \left\{ c > 0 : \rho_M \left( \frac{x}{c} \right) < \infty \right\},$$

$$d(x, E_M) = \inf \{ \|x - y\| : y \in E_M \}$$

and for any  $v \in L_N^0$ ,

$$K(v) = \left\{ k > 0 : \|v\|^0 = \frac{1}{k} (1 + \rho_N(kv)) \right\}.$$

*Lemma 1.*  $f = v + \phi \in L_M^*$  is a supporting functional of  $x \in S(L_M)$  iff

- (1)  $\rho_M(x) = 1$ ,
- (2)  $\|\phi\| = \phi(x)$ ,
- (3)  $v(t)x(t) \geq 0$  and  $p_-(|x(t)|) \leq k|v(t)| \leq p(|x(t)|)$ , for  $\mu$ -a.e.  $t \in G$ , where  $v \in L_N^0$ ,  $\phi \in L_M^*$  is a singular functional and  $k \in K(v)$ .

*Proof.* The proof of this Lemma can be found in [10].

*Lemma 2.* If  $x \in S(L_M)$  and there is  $\tau > 0$  such that  $\rho_M((1 + \tau)x) < \infty$ , then

- (i)  $\rho_M(x) = 1$ ,
- (ii) all supporting functionals of  $x$  belong to  $L_N^0$ ,
- (iii) for any  $k \in K(v)$  we have  $\limsup_{\mu e \rightarrow 0} \sup_{v \in \nabla_x} \rho_N(kv|_e) = 0$  ( $e \in \Sigma$ ),
- (iv)  $\rho_N(p(x)) < \infty$ ,
- (v) for any sequence  $\{x_n\}_{n=1}^\infty \subset S(L_M)$  such that  $\|x_n + x\| \rightarrow 2$ , we have  $\rho_M(x_n) \rightarrow 1$  and  $\rho_M((x_n + x)/2) \rightarrow 1$ ,
- (vi) for any  $y \in S(L_M)$  with  $\|x + y\| = 2$ , we have  $\rho_M(y) = \rho_M\left(\frac{x + y}{2}\right) = 1$ .

*Proof.* (i) is obvious.

(ii) It is easy to see that  $\nabla_x \subset S(L_N^0)$  for any  $x \in E_M$ . Suppose that  $x \in L_M \setminus E_M$  has a supporting functional of the form  $f = v + \phi$ , where  $v \in L_N^0$  and  $\phi \in L_M^*$  is a singular functional. Then, by Lemma 5.3 in [10], we get

$$\phi(x) = \|\phi\| = \sup_{z \in L_M \setminus E_M} \frac{\phi(z)}{\Theta(z)} \geq \frac{\phi(x)}{\Theta(x)} > \phi(x).$$

A contradiction.

(iii) Otherwise, there is  $\varepsilon > 0$  and a sequence of measurable sets  $\{e_n\}_{n=1}^\infty \subset G$  with  $e_n \downarrow 0$  and  $v_n \in \nabla_x$  such that  $\rho_N(k_n v_n|_{e_n}) \geq \varepsilon > 0$ , where  $k_n \in K(v_n)$ . Since  $\rho_M((1+\tau)x) < \infty$ , there is  $n \in N$  such that  $\rho_N((1+\tau)x|_{e_n}) < \tau\varepsilon/2$ . Hence

$$\begin{aligned} 0 &= k_n \|v_n\|_M^0 - k_n \langle x, v_n \rangle = 1 + \rho_N(k_n v_n) - \langle x, k_n v_n \rangle = \\ &= \int_G (M(x(t)) + N(k_n v_n(t)) - x(t)k_n v_n(t)) dt > \\ &> \int_{e_n} \left( M(x(t)) + N(k_n v_n(t)) - \frac{1+\tau}{1+\tau} x(t)k_n v_n(t) \right) dt \geq \\ &\geq \int_{e_n} \left( N(k_n v_n(t)) - \frac{1}{1+\tau} (M((1+\tau)x(t)) + N(k_n v_n(t))) \right) dt = \\ &= \frac{\tau}{1+\tau} \rho_N(k_n v_n|_{e_n}) - \frac{1}{1+\tau} \rho_M((1+\tau)x|_{e_n}) \geq \\ &\geq \frac{\tau\varepsilon}{1+\tau} - \frac{\tau\varepsilon}{2(1+\tau)} = \frac{\tau\varepsilon}{2(1+\tau)}, \end{aligned}$$

what is impossible.

(iv) Since

$$M((1+\tau)u) > \int_u^{(1+\tau)u} p(s) ds \geq \tau u p(u) \geq \tau N(p(u)),$$

we have

$$\rho_N(p(x)) < \frac{1}{\tau} \rho_M((1+\tau)x) < \infty.$$

(v) For any  $\varepsilon > 0$ , a natural number  $n$  can be found such that

$$\left\| (1+\varepsilon) \frac{x_n + x}{2} \right\| > 1.$$

Hence

$$1 < \rho_M \left( (1+\varepsilon) \frac{x_n + x}{2} \right) = \rho_M \left( \frac{1+\varepsilon}{2} x_n + \frac{(1-\varepsilon)(1+\varepsilon)}{2(1-\varepsilon)} x \right) \leq$$

$$\begin{aligned} &\leq \frac{1+\varepsilon}{2} \rho_M(x_n) + \frac{1-\varepsilon}{2} \rho_M\left(\frac{1+\varepsilon}{1-\varepsilon}x\right) = \\ &= \frac{1+\varepsilon}{2} \rho_M(x_n) + \frac{1-\varepsilon}{2} (\rho_M(x) + o(\varepsilon)) = \\ &= \frac{1+\varepsilon}{2} \rho_M(x_n) + \frac{1-\varepsilon}{2} (1 + o(\varepsilon)). \end{aligned}$$

Therefore, by the arbitrariness of  $\varepsilon$ , we conclude  $\rho_M(x_n) \rightarrow 1$  as  $n \rightarrow \infty$ . Analogously, noticing that  $\|1/2(((x_n + x)/2) + x)\| \rightarrow 1$  as  $n \rightarrow \infty$ , we can prove that  $\rho_M((x_n + x)/2) \rightarrow 1$  as  $n \rightarrow \infty$ .

(vi) It follows immediately from (v).

*Lemma 3.* Suppose  $M \in \nabla_2$  and  $[a, b]$  is a SAI of  $M$ . Then for any  $\varepsilon > 0$  there exists  $\eta > 0$  such that  $((M(u) + N(v))/2) - M((u + v)/2) < \eta$  and  $v \in [a, b]$  imply  $u \in [a - \varepsilon, b + \varepsilon]$ .

*Proof.* See [2].

*Theorem 1.* An element  $x \in S(L_M)$  is a quasi-U-point iff the following two conditions are satisfied:

- (1) there exists  $\tau > 0$  such that  $\rho_M((1 + \tau)x) < \infty$ ,
- (2) if there exists a SAI  $[a, b]$  of  $M$  with  $p_-(a) < p(a)$  such that  $\mu\{t \in G : |x(t)| = a\} > 0$ , then  $\mu\{t \in G : |x(t)| \in (c, d)\} = 0$  for any SAI  $[c, d]$  of  $M$ ; if  $p_-(b) < p(b)$  and  $\mu\{t \in G : |x(t)| = b\} > 0$ , then  $\mu\{t \in G : |x(t)| \in [c, d]\} = 0$  for any SAI  $[c, d]$  of  $M$ .

*Proof of necessity.* If (1) is not true, then  $\Theta(x) = 1$ . Denote

$$G_n = \{t \in G : n - 1 \leq |x(t)| < n\}, \quad n = 1, 2, \dots$$

Then  $G = \bigcup_{n=1}^{\infty} G_n$ . Decompose every set  $G_n$  ( $n = 1, 2, \dots$ ) into two sets  $G'_n$  and  $G''_n$  such that  $\mu(G'_n) = \mu(G''_n)$ . Define

$$x'(t) = \sum_{n=1}^{\infty} x(t) |_{G'_n}, \quad x''(t) = \sum_{n=1}^{\infty} x(t) |_{G''_n}.$$

Then  $x = x' + x''$ . Clearly,



$$\rho_M(x') < \rho_M\left(\frac{x+x'}{2}\right) < \rho_M(x) \leq 1.$$

On the other hand, for any  $\varepsilon \in (0, 1/2)$ , fix  $n_0 \in N$  such that  $(n_0 - 1)/n_0 > (1 - 2\varepsilon)/(1 - \varepsilon)$ . We have

$$\begin{aligned} \rho_M\left(\frac{1}{1-2\varepsilon} \frac{x'+x}{2}\right) &> \rho_M\left(\frac{x'}{1-2\varepsilon}\right) = \sum_{n=1}^{\infty} \int_{G'_n} M\left(\frac{x(t)}{1-2\varepsilon}\right) dt \geq \\ &\geq \sum_{n=1}^{\infty} \int_{G'_n} M\left(\frac{n-1}{1-2\varepsilon}\right) dt = \frac{1}{2} \sum_{n=1}^{\infty} \int_{G'_n} M\left(\frac{n-1}{1-2\varepsilon}\right) dt > \\ &> \frac{1}{2} \sum_{n=1}^{\infty} \int_{G'_n} M\left(\frac{n-1}{n} \frac{x(t)}{1-2\varepsilon}\right) dt > \frac{1}{2} \sum_{n>n_0} \int_{G'_n} M\left(\frac{x(t)}{1-\varepsilon}\right) dt = \infty. \end{aligned}$$

This shows that

$$\|x'\| = \left\| \frac{x'+x}{2} \right\| = 1$$

and  $\Theta(x') = 1$ . Similarly, we can get that

$$\|x''\| = \left\| \frac{x''+x}{2} \right\| = 1$$

and  $\Theta(x'') = 1$ . By  $1 = \Theta(x') = d(x', E_M)$  and Hahn-Banach Theorem, there exists  $\phi \in L_M^*$  such that  $\phi[E_M] = 0$  and  $\|\phi\| = \phi(x') = \Theta(x') = 1$ , i.e.  $\phi$  is a singular functional and supporting functional of  $x'$ .

Noticing that

$$\|\phi|_{\cup_{n=1}^{\infty} G'_n}\| \geq \phi|_{\cup_{n=1}^{\infty} G'_n}(x') = \phi(x'|_{\cup_{n=1}^{\infty} G'_n}) = \phi(x') = 1 = \|\phi\|$$

and

$$\|\phi\| = \|\phi|_{\cup_{n=1}^{\infty} G'_n}\| + \|\phi|_{\cup_{n=1}^{\infty} G''_n}\|,$$

we have

$$\|\phi|_{\cup_{n=1}^{\infty} G''_n}\| = 0.$$

Hence

$$\begin{aligned} \phi(x) &= \phi(x') + \phi(x'') = \phi(x') + \phi(x''|_{\cup_{n=1}^{\infty} G''_n}) = \\ &= \phi(x') + \phi|_{\cup_{n=1}^{\infty} G''_n}(x'') = \phi(x') = 1 = \|x\|, \end{aligned}$$

i.e  $\phi$  is also a supporting functional of  $x$ . But  $\|x''\| = \|(x'' + x)/2\| = 1$  and  $\phi(x'') = 0$ , so  $x$  is not a quasi- $U$ -point. This contradiction proves (1).

If the first part of (2) does not hold, then there exist the SAIs  $[a, b]$  and  $[c, d]$  such that

$$p_-(a) < p(a), \quad \mu\{t \in G : |x(t)| = a\} > 0$$

and

$$\mu\{t \in G : |x(t)| \in (c, d)\} > 0.$$

Let

$$M(u) = p(a)u + B \quad (u \in [a, b]), \quad M(u) = p(c)u + B' \quad (u \in [c, d]).$$

Pick  $\varepsilon' > 0$  satisfying

$$\mu F = \mu\{t \in G : x(t) \in [c + \varepsilon', d]\} > 0.$$

Take  $\bar{E} \subset E$ ,  $\bar{F} \subset F$  with  $\mu\bar{E} = \mu\bar{F} > 0$  and choose  $\varepsilon > 0$  such that  $p(a)\varepsilon = p(c)\varepsilon'$  and  $a + \varepsilon \leq b$ . Put

$$y(t) = x(t)|_{G \setminus (\bar{E} \cup \bar{F})} + (a + \varepsilon)|_{\bar{E}} + (x(t) - \varepsilon')|_{\bar{F}}.$$

Then

$$\begin{aligned} \rho_M(y) &= \rho_M(x|_{G \setminus (\bar{E} \cup \bar{F})}) + M(a)\mu\bar{E} + p(a)\varepsilon\mu\bar{F} + \\ &+ \int_{\bar{F}} M(x(t))dt - p(c)\varepsilon'\mu\bar{F} = \rho_M(x) = 1 \end{aligned}$$

and consequently

$$\begin{aligned} \rho_M\left(\frac{x+y}{2}\right) &= \rho_M(x|_{G \setminus (\bar{E} \cup \bar{F})}) + M(a)\mu\bar{E} + p(a)\frac{\varepsilon}{2}\mu\bar{E} + \\ &+ \int_{\bar{F}} M(x(t))dt - p(c)\frac{\varepsilon'}{2}\mu\bar{F} = \rho_M(x) = 1. \end{aligned}$$

Therefore  $\|y\| = \|(x+y)/2\| = 1$ .

By (iv) of Lemma 2,  $p_-(x(t)) \in L_N^0$ . Set  $v = p_-(x)/\|p_-(x)\|_N^0$ . Then, by Theorem 18.5 in [5],  $v$  is a supporting functional of  $x$ , but

$$\begin{aligned} \langle x - y, v \rangle &= \int_{\bar{F}} \varepsilon' p_-(x(t))dt - \int_{\bar{E}} \varepsilon p_-(x(t))dt \geq \\ &\geq \varepsilon' p(c)\mu\bar{F} - \varepsilon p_-(a)\mu\bar{E} > \varepsilon' p(c)\mu\bar{F} - \varepsilon p(a)\mu\bar{E} = 0, \end{aligned}$$

which contradicts that  $x$  is a quasi- $U$ -point.

Analogously, we can conclude that the second part of the condition (2) holds true.

*Proof of sufficiency.* Without loss of generality, we may assume  $x(t) \geq 0$ . Let  $y \in S(L_M)$  and  $\|x + y\| = 2$ . Then, by (i) and (vi) of Lemma 2, we have

$$\rho_M(x) = \rho_M(y) = \rho_M\left(\frac{x+y}{2}\right) = 1.$$

Consequently,

$$\begin{aligned} 0 &= \frac{\rho_M(x) + \rho_M(y)}{2} - \rho_M\left(\frac{x+y}{2}\right) = \\ &= \int_G \left( \frac{M(x(t)) + M(y(t))}{2} - M\left(\frac{x(t) + y(t)}{2}\right) \right) dt. \end{aligned}$$

Hence, by the convexity of  $M$ , we get

$$\frac{M(x(t)) + M(y(t))}{2} = M\left(\frac{x(t) + y(t)}{2}\right) \text{ for } \mu\text{-a.e. } t \in G.$$

This shows that either  $x(t) = y(t)$  or  $x(t), y(t)$  belong to the same SAI of  $M$ .

Consider the following three cases:

(I) There exists a SAI  $[a, b]$  of  $M$  with  $p_-(b) < p(b)$  such that  $\mu\{t \in G: x(t) = b\} > 0$ , and for any SAI  $[c, d]$  of  $M$ ,  $\mu\{t \in G: c \leq x(t) < d\} = 0$ .

If  $x(t)$  does not belong to any SAI of  $M$ , then  $x(t) = y(t)$ . If  $x(t) \in [c, d]$ , then  $x(t) = d$ . Moreover  $y(t) \in [c, d]$ , so  $y(t) \leq x(t)$ . Thus, we get  $M(y(t)) \leq M(x(t))$  ( $\mu$ -a.e.). Combining  $\rho_M(y) = 1 = \rho_M(x)$ , it follows immediately that  $x(t) = y(t)$  (for  $\mu$ -a.e.  $t \in G$ ), i.e.  $y = x$ . For any  $f \in L_M^*$ ,  $f(y - x) = f(0) = 0$ , i.e.  $x$  is a quasi- $U$ -point.

(II) There exists a SAI  $[a, b]$  of  $M$  with  $p_-(a) < p(a)$  such that  $\mu\{t \in G: x(t) = a\} > 0$ , and for any SAI  $[c, d]$  of  $M$ ,  $\mu\{t \in G: c \leq x(t) < d\} = 0$ .

Analogously to the proof of (I), we conclude that  $x$  is a quasi- $U$ -point.

(III)  $x(t)$  belongs to a SAI  $[a, b]$  of  $M$  and  $p_-(x(t)) = p(x(t))$ . By (ii) of Lemma 2, any supporting functional  $\nu$  of  $x$  belongs to  $S(L_N^0)$ . If  $x(t) \in [a, b]$ , then  $y(t) \in [a, b]$ . Set  $k \in K(\nu)$ . Then

$$k\nu(t) = p_-(x(t)) = p(x(t)) = p(a) = p_-(b)$$

If  $y(t) \in [a, b]$ , then

$$k\nu(t) = p(a) = p(y(t))$$

If  $y(t) = b$ , then

$$k\nu(t) = p_-(b) = p_-(y(t)).$$



By Lemma 1,  $v$  is also a supporting functional of  $y$ . Thus

$$\langle v, y - x \rangle = \langle v, y \rangle - \langle v, x \rangle = \|y\| - \|x\| = 1 - 1 = 0,$$

i.e.  $x$  is a quasi- $U$ -point.

*Corollary 1.*  $L_M$  has quasi- $U$ -property iff

- (i)  $M \in \Delta_2$ ,
- (ii) for any SAI  $[a, b]$  of  $M$ ,  $p_-(a) = p(a) = p_-(b) = p(b)$ .

*Proof of necessity.* (i) If  $M \notin \Delta_2$ , then we can construct  $x \in S(L_M)$  with  $\rho_M(x) < 1$ , so for any  $\varepsilon > 0$ ,  $\rho_M((1 + \varepsilon)x) = \infty$ . By Theorem 1,  $x$  is not a quasi- $U$ -point. Furthermore,  $L_M$  cannot have quasi- $U$ -property.

(ii) If there is a SAI  $[a, b]$  of  $M$  with  $p_-(a) < p(a)$ , then we can fix  $E \subset G$ ,  $F \subset G$  with  $0 < \mu E < \mu G$ ,  $0 < \mu F < \mu G$ ,  $E \cap F = \emptyset$ , a real numbers  $c \in (a, b)$  and  $d$  such that

$$M(a)\mu E + M(c)\mu F + M(d)\mu(G \setminus (E \cup F)) = 1.$$

Define  $x = a|_E + c|_F + d|_{G \setminus (E \cup F)}$ . Then  $\rho_M(x) = 1$ , i.e.  $\|x\| = 1$ . On the other hand

$$\mu\{t \in G : x(t) = a\} = \mu E > 0$$

and

$$\mu\{t \in G : x(t) \in (a, b)\} \geq \mu F > 0.$$

Thus, by Theorem 1,  $x$  is not a quasi- $U$ -point and consequently  $L_M$  has not quasi- $U$ -property.

The equality  $p_-(b) = p(b)$  can be proved analogously.

*Proof of sufficiency.* For any  $x \in S(L_M)$ , by  $M \in \Delta_2$ , we have  $\rho_M((1 + \tau)x) < \infty$ . (2) from Theorem 1 holds true trivially. Therefore  $x$  is a quasi- $U$ -point, i.e.  $L_M$  has quasi- $U$ -property.

*Theorem 2.* An element  $x \in S(L_M)$  is an  $U$ -point iff

- (i) there exists  $\tau > 0$  such that  $\rho_M((1 + \tau)x) < \infty$ ,
- (ii) if there is a SAI  $[a, b]$  of  $M$  with  $p_-(a) < p(a)$  such that

$$\mu\{t \in G : |x(t)| = a\} > 0,$$

then

$$\mu\{t \in G : |x(t)| \in (c, d)\} = 0;$$

if  $p_-(b) < p(b)$  and  $\mu\{t \in G : |x(t)| = b\} > 0$ , then

$$\mu\{t \in G : |x(t)| \in [c, d]\} = 0,$$

(iii)  $M \in \nabla_2$  or  $\mu\{t \in G : |x(t)| \in (a, b)\} = 0$  for any SAI  $[a, b]$  of  $M$ .

*Proof of necessity.* Without loss of generality, we can assume  $x(t) \geq 0$ . Since an  $U$ -point is a quasi- $U$ -point, by Theorem 1, (i) and (ii) are satisfied. If (iii) is not true, then there exists a SAI  $[a, b]$  of  $M$  and  $u_n \uparrow \infty$  such that

$$\mu\{t \in G : x(t) \in (a, b)\} > 0$$

and

$$M\left(\frac{u_n}{2}\right) > \left(1 - \frac{1}{n}\right) \frac{M(u_n)}{2}.$$

Fix  $\varepsilon > 0$  and  $E_n \subset E$  such that

$$\mu E \stackrel{\Delta}{=} \mu\{t \in G : x(t) \in [a + \varepsilon, b]\} > 0$$

and

$$\int_{E_n} M(x(t) - \varepsilon) dt + M(u_n) \mu(E \setminus E_n) = \int_E M(x(t)) dt.$$

Then  $\mu(E \setminus E_n) \rightarrow 0$ . Define

$$x_n(t) = (x(t) - \varepsilon)|_{E_n} + u_n|_{E \setminus E_n} + x(t)|_{G \setminus E}.$$

We have  $\rho_M(x_n) = \rho_M(x) = 1$ . Moreover,

$$\begin{aligned} \rho_M\left(\frac{x_n + x}{2}\right) &= \int_{E_n} M\left(x(t) - \frac{\varepsilon}{2}\right) dt + \int_{E \setminus E_n} M\left(\frac{x(t) + u_n}{2}\right) dt + \int_{G \setminus E} M(x(t)) dt \geq \\ &\geq \frac{1}{2} \left( \int_{E_n} M(x(t)) dt + \int_{E_n} M(x(t) - \varepsilon) dt \right) + \\ &+ \int_{E \setminus E_n} M\left(\frac{u_n}{2}\right) dt + \int_{G \setminus E} M(x(t)) dt \geq \end{aligned}$$

$$\begin{aligned} &\geq \frac{1}{2} \left( \int_{E_n} M(x(t)) dt + \int_{E_n} M(x(t) - \varepsilon) dt \right) + \\ &\quad + \left( 1 - \frac{1}{n} \right) \frac{M(u_n)}{2} \mu(E \setminus E_n) + \int_{G \setminus E} M(x(t)) dt \\ &\geq \frac{1}{2} \left( 1 - \frac{1}{n} \right) (\rho_M(x_n) + \rho_M(x)) \rightarrow 1. \end{aligned}$$

Hence

$$\|x_n + x\| \rightarrow 2.$$

Choose the supporting functional  $y = \frac{1}{k} p(x)$  of  $x$ , where  $k = \|p(x)\|_N^0$ . Notice that

$$\begin{aligned} \int_{E_n} \varepsilon y(t) dt &\geq \frac{1}{4} \varepsilon p(a) \mu E_n \rightarrow \frac{\varepsilon}{k} p(a) \mu E \\ \int_{E \setminus E_n} x(t) y(t) dt &\rightarrow 0 \end{aligned}$$

and

$$\int_{E \setminus E_n} u_n y(t) dt \leq \|x_n\|_{E \setminus E_n} \|y\|_{E \setminus E_n} \leq \|x_n\| \|y\|_{E \setminus E_n} \rightarrow 0.$$

Then

$$\begin{aligned} \int_G (x(t) - x_n(t)) y(t) dt &= \int_{E_n} \varepsilon y(t) dt + \int_{E \setminus E_n} (x(t) - u_n) y(t) dt \geq \\ &\geq \frac{1}{k} \varepsilon p(a) \mu E_n + \int_{E \setminus E_n} x(t) y(t) dt - \int_{E \setminus E_n} u_n y(t) dt \rightarrow \frac{\varepsilon}{k} p(a) \mu E > 0, \end{aligned}$$

which contradicts to the fact that  $x$  is an  $U$ -point.

*Proof of sufficiency.* Let  $x_n \in S(L_M)$  and  $\|x_n + x\| \rightarrow 2$ . Without loss of generality assume that  $x(t) \geq 0$ . In the following we will prove the sufficiency considering three cases:

- (I)  $\mu\{t \in G : x(t) \in (a, b]\} = 0$  for any SAI  $[a, b]$  of  $M$ .

Denote

$$A = \left\{ t \in G : x(t) \in \bigcup_{i=1}^{\infty} \{a_i\} \right\}.$$

First we prove that

$$x_n(t) \xrightarrow{\mu} x(t) \text{ on } G \setminus A.$$

Otherwise, there exist  $\varepsilon, \delta > 0$  such that

$$\mu\{t \in G \setminus A : |x_n(t) - x(t)| \geq \varepsilon\} \geq \delta.$$

By Lemma 2, we have  $\rho_M(x) = 1$ ,  $\rho_M(x_n) \rightarrow 1$  and  $\rho_M\left(\frac{x_n+x}{2}\right) \rightarrow 1$ . Since

$$\begin{aligned} 1 - \rho_M(x_n) &= \int_G M(x_n(t)) dt \geq \int_{\{t \in G : |x_n(t)| > D\}} M(x_n(t)) dt \\ &> M(D) \mu\{t \in G : |x_n(t)| > D\} \end{aligned}$$

for every  $D > 0$ , we have

$$\mu\{t \in G : |x_n(t)| > D\} < \frac{1}{M(D)}.$$

Thus we may pick  $D$  large enough such that

$$\mu\{t \in G : |x_n(t)| > D\} < \delta/4 \quad \text{and} \quad \mu\{t \in G : |x(t)| > D\} < \delta/4.$$

Hence

$$\mu\{t \in G \setminus A : |x_n(t) - x(t)| \geq \varepsilon, |x(t)| \leq D, |x_n(t)| \leq D\} > \delta - \frac{\delta}{4} - \frac{\delta}{4} = \frac{\delta}{2}.$$

If  $t \in G \setminus A$ , then  $x(t) \neq a_i$ ,  $i = 1, 2, \dots$ . Denote  $V_\eta^i = (a_i - \eta, a_i + \eta)$ . We have

$$\lim_{\eta \rightarrow 0} \mu\{t \in G \setminus A : x(t) \in V_\eta^i\} = \mu\{t \in G \setminus A : x(t) = a_i\} = 0.$$

Select  $\eta_i$  such that

$$\mu\{t \in G \setminus A : x(t) \in V_{\eta_i}^i\} < \frac{\delta}{4 \cdot 2^i}, \quad i = 1, 2, \dots$$

Then

$$\mu\{t \in G \setminus A : x(t) \in \bigcup_{i=1}^{\infty} V_{\eta_i}^i\} < \frac{\delta}{4}.$$

Therefore

$$\begin{aligned} \mu G_n &\stackrel{\Delta}{=} \mu\{t \in G \setminus A : |x_n(t) - x(t)| \geq \varepsilon, |x_n(t)| \leq D, |x(t)| \leq D, \\ &\quad x(t) \in R \setminus \bigcup_{i=1}^{\infty} V_{\eta_i}^i\} > \frac{\delta}{4}. \end{aligned}$$

Consider the bounded closed set

$$F = \left\{ (u, v) \in R^2 : |u - v| \geq \varepsilon, |u| \leq D, |v| \leq D, v \in R \setminus \bigcup_{i=1}^{\infty} V_{\eta_i}^i \right\}.$$

It is easy to see that

$$M\left(\frac{u + v}{2}\right) < \frac{M(u) + M(v)}{2},$$

for  $(u, v) \in F$ . Since the set  $F$  is compact, there is  $\delta' > 0$  such that

$$\max_{(u, v) \in F} \frac{2M\left(\frac{u + v}{2}\right)}{M(u) + M(v)} = 1 - \delta'$$

Hence,

$$M\left(\frac{u + v}{2}\right) \leq (1 - \delta') \frac{M(u) + M(v)}{2}$$

for any  $(u, v) \in F$ . Consequently

$$M\left(\frac{x_n(t) + x(t)}{2}\right) \leq (1 - \delta') \frac{M(x_n(t)) + M(x(t))}{2}$$

for  $\mu$ -a.e.  $t \in G_n$ . Therefore

$$\begin{aligned} 0 &< \frac{\rho_M(x_n) + \rho_M(x)}{2} - \rho_M\left(\frac{x_n + x}{2}\right) = \\ &= \int_G \left( \frac{M(x_n(t)) + M(x(t))}{2} - M\left(\frac{x_n(t) + x(t)}{2}\right) \right) dt \\ &\geq \int_{G_n} \left( \frac{M(x_n(t)) + M(x(t))}{2} - M\left(\frac{x_n(t) + x(t)}{2}\right) \right) dt \geq \\ &\geq \int_{G_n} \delta' \frac{M(x_n(t)) + M(x(t))}{2} dt \geq \frac{\delta'}{2} M\left(\frac{\varepsilon}{2}\right) \frac{\delta}{4} > 0 \end{aligned}$$

and we get a contradiction. This means that  $x_n(t) \xrightarrow{\mu} x(t)$  on  $G \setminus A$ . By the lower semicontinuity of the modular, we have

$$\liminf_n \rho_M(x_n|_{G \setminus A}) \geq \rho_M(x|_{G \setminus A}).$$

Since  $\rho_M(x_n) \rightarrow 1 = \rho_M(x)$ , the above inequality implies

$$(1) \quad \limsup_n \rho_M(x_n|_A) \leq \rho_M(x|_A).$$



Since  $a$  is a left extreme point of SAI of  $M$  but it is not a right extreme point. Then, using the same argumentation as above, we have

$$\liminf_n x_n(t) \geq x(t)$$

for  $\mu$ -a.e.  $t \in A$ . Combining (1), we obtain

$$\lim_{n \rightarrow \infty} x_n(t) = x(t)$$

for  $\mu$ -a.e.  $t \in A$ . Hence  $x_n \xrightarrow{\mu} x$  on  $G$ .

Now we prove that

$$(2) \quad \limsup_{\mu e \rightarrow 0} \rho_M(x_n|_e) = 0.$$

For any  $\varepsilon > 0$ , there exists  $\delta > 0$  such that for any  $e \in \Sigma$  with  $\mu e < \delta$  we get  $\rho_M(x_n|_e) < \varepsilon$ . Since  $x_n \xrightarrow{\mu} x$  on  $G$ , by the Egoroff theorem, there is  $e \subset G$  such that  $\mu e < \delta$  and  $x_n(t) \rightarrow x(t)$  uniformly on  $G \setminus e$ . Hence

$$|\rho_M(x_n|_{G \setminus e}) - \rho_M(x|_{G \setminus e})| < \varepsilon$$

for  $n \leq n_0$ . By the convergence  $\rho_M(x_n) \rightarrow 1 = \rho_M(x)$ , we have

$$|\rho_M(x_n|_e) - \rho_M(x|_e)| < \varepsilon$$

for  $n$  large enough. Therefore  $\rho_M(x_n|_e) < 2\varepsilon$  for  $n$  large enough. Hence for any fixed  $n$  and any  $e \in \Sigma$  with  $\mu e$  sufficiently small, we have

$$\rho_M(x_n|_e) < \varepsilon$$

uniformly, i.e (2) holds true.

By (2) and Lemma 2, for any  $\varepsilon > 0$  there exists  $\delta > 0$  such that  $\mu e < \delta$  implies that

$$\rho_M(x|_e) < \varepsilon, \quad \rho_M(x_n|_e) < \varepsilon \quad (n = 1, 2, \dots)$$

and

$$\rho_N(y|_e) < \varepsilon \quad \text{for } y \in \nabla_x.$$

Since  $x_n \xrightarrow{\mu} x$ , applying again the Egoroff theorem, a set  $e \in \Sigma$  can be found such that  $\mu e < \delta$  and  $x_n \rightarrow x$  uniformly on  $G \setminus e$ . Hence,

$$|x_n(t) - x(t)| < \varepsilon$$

for  $t \in G \setminus e$  and  $n$  large enough. Thus

$$\langle x_n - x, y \rangle = \int_{G \setminus e} (x_n(t) - x(t))y(t)dt + \int_e (x_n(t) - x(t))y(t)dt \leq$$

$$\leq \varepsilon \| \chi_G \| + \rho_M(x_n|_e) + \rho_M(x|_e) + 2\rho_N(y|_e) < \varepsilon \| \chi_G \| + 4\varepsilon$$

uniformly with respect to  $y \in \nabla_x$ , i.e.  $x$  is an  $U$ -point.

(II)  $M \in \nabla_2$  and  $\mu\{t \in G : x(t) \in (a, b]\} = 0$  for any SAI  $[a, b]$  of  $M$ .

Denote

$$B = \left\{ t \in G : x(t) \in \bigcup_{i=1}^{\infty} \{b_i\} \right\}$$

First, we will prove that

$$(3) \quad \limsup_{\mu e \rightarrow 0} \rho_M(x_n|_e) = 0.$$

Otherwise, there exist  $e_n \subset G$  with  $\mu e_n \downarrow 0$  such that  $\rho_M(x_n|_{e_n}) \geq \varepsilon$ . Without loss of generality we can assume,  $x_n(t) \geq u_0$  for  $t \in e_n$ . Since  $M \in \nabla_2$ , there exists  $\delta \in (0, 1)$  such that for  $u > u_0$

$$M\left(\frac{u}{1+\tau'}\right) \leq \frac{1}{1+\tau'}(1-\delta)M(u),$$

where  $\frac{1}{1-\tau} = 1+\tau$ . Hence

$$\begin{aligned} 1 &\leftarrow \rho_M\left(\frac{x_n(t)+x(t)}{2}\right) = \\ &= \int_{G \setminus e_n} M\left(\frac{x_n(t)+x(t)}{2}\right) dt + \int_{e_n} M\left(\frac{1+\tau'}{2} \cdot \frac{x_n(t)}{1+\tau'} + \frac{1-\tau'}{2} \cdot \frac{x(t)}{1-\tau'}\right) dt \leq \\ &\leq \int_{G \setminus e_n} M\left(\frac{x_n(t)+x(t)}{2}\right) dt + \frac{1+\tau'}{2} \int_{e_n} M\left(\frac{x_n(t)}{1+\tau'}\right) dt + \frac{1-\tau'}{2} \int_{e_n} M((1+\tau)x(t)) dt \leq \\ &\leq \frac{1}{2} \int_{G \setminus e_n} M(x_n(t)) dt + \frac{1}{2} \int_{G \setminus e_n} M(x(t)) dt + \frac{1-\delta}{1+\tau'} \cdot \frac{1+\tau'}{2} \int_{e_n} M(x_n(t)) dt + \\ &\quad + \rho_M((1+\tau)x|_{e_n}) \leq \\ &\leq \frac{\rho_M(x_n) + \rho_M(x)}{2} - \frac{\delta\varepsilon}{2} + \rho_M((1+\tau)x|_{e_n}) \rightarrow 1 - \frac{\delta\varepsilon}{2}. \end{aligned}$$

This contradiction proves (3).

Analogously as in the proof of (1), we can deduce that  $x_n \xrightarrow{\mu} x$  on  $GB$ .

Using (3), we obtain

$$\lim_{n \rightarrow \infty} \rho_M(x_n|_{G \setminus B}) = \rho_M(x|_{G \setminus B})$$

and consequently

$$\lim_{n \rightarrow \infty} \rho_M(x_n|_B) = \rho_M(x|_B).$$

Since  $b$  is a right extreme point of SAI of  $M$  and not a left extreme point, repeating the same argumentation as above, we get

$$\lim_{n \rightarrow \infty} x_n(t) = x(t), \quad \mu - \text{a.e. on } B.$$

Thus, we obtain that  $x_n(t) \xrightarrow{\mu} x(t)$  on  $G$ . Similarly as in the proof of (I), we can verify that  $x$  is an  $U$ -point.

(III)  $M \in \nabla_2$  and  $x(t)$  does not belong to any SAI of  $M$  or  $x(t)$  belongs to some SAI and  $p_-(x(t)) = p(x(t))$ .

For every  $\varepsilon > 0$ , there exists  $\delta > 0$  such that for any  $e \in \Sigma$  with  $\mu e < \delta$  we have

$$\rho_M(x|_e) < \varepsilon, \quad \rho_M(x_n|_e) < \varepsilon \quad \text{and} \quad \rho_N(kv|_e) < \varepsilon$$

for each  $v \in \nabla_x$ , and  $k \in K(v)$ . Denote

$$E_i = \{t \in G : x(t) \in [a_i, b_i]\} \quad (i=1,2,\dots)$$

and

$$E_0 = G \setminus \bigcup_{i=1}^{\infty} E_i.$$

Analogously as in the proof of (I), we can prove  $x_n \xrightarrow{\mu} x$  on  $E_0$ . Choose  $F_0 \subset E_0$  such that  $\mu F_0 < \delta$  implies  $x_n(t) \rightarrow x(t)$  uniformly on  $E_0 \setminus F_0$ , i.e. there exists  $n_0 \in N$  such that  $n > n_0$  implies

$$|x_n(t) - x(t)| < \varepsilon$$

and

$$|M(x_n(t)) - M(x(t))| < \varepsilon$$

for  $t \in E_0 \setminus F_0$ . Hence, for  $n > n_0$ , we have

$$\begin{aligned} x_n(t)kv(t) &> (x(t) - \varepsilon)kv(t) = M(x(t)) + N(kv(t)) - \varepsilon kv(t) > \\ &> M(x_n(t)) - \varepsilon + N(kv(t)) - \varepsilon kv(t) \end{aligned}$$

for all  $t \in E_0 \setminus F_0$ . Since  $\bigcup_{i=1}^{\infty} \mu E_i \leq \mu G$ , there is  $m \in N$  such that  $\mu(\bigcup_{i>m} E_i) < \delta$ .

We have

$$up(a_i) = M(u) + N(p(a_i))$$

for  $u \in [a_i, b_i]$ . So there exists  $\beta > 0$  such that

$$up(a_i) > M(u) + N(p(a_i)) - \varepsilon$$

whenever  $u \in [a_i - \beta, b_i + \beta]$  ( $i=1,2, \dots, m$ ). Fixing  $\beta > 0$ , by Lemma 2, we can find  $\eta > 0$  such that

$$\frac{M(u) + M(v)}{2} - M\left(\frac{u + v}{2}\right) < \eta$$

and  $v \in [a_i, b_i]$ , imply  $u \in [a_i - \beta, b_i + \beta]$  ( $i=1,2, \dots, m$ ). Since

$$f_n(t) = \frac{M(x_n(t)) + M(x(t))}{2} - M\left(\frac{x_n(t) + x(t)}{2}\right) \xrightarrow{\mu} 0.$$

Then

$$\mu F_n = \mu\{t \in G : f_n(t) \geq \eta\} < \delta$$

for  $n > n'_0 > n_0$ . Hence, if  $t \in \bigcup_{i=1}^{\infty} E_i \setminus F_n$ , then  $x(t) \in [a_i, b_i]$  and  $f_n(t) < \eta$ . So  $x_n(t) \in [a_i - \beta, b_i + \beta]$  ( $i=1,2, \dots, m$ ). Furthermore,

$$x_n(t)p(a_i) > M(x_n(t)) + N(p(a_i)) - \varepsilon \quad (i = 1,2, \dots, m).$$

By the definition of  $E_i$ , we conclude  $x(t) \in [a_i, b_i]$ . Hence for  $v \in \nabla_x$ ,

$$kv(t) = p(x(t)) = p_-(x(t)) = p(a_i),$$

i.e.

$$x_n(t)kv(t) > M(x_n(t)) + N(kv(t)) - \varepsilon$$

for  $t \in \bigcup_{i=1}^m E_i \setminus F_n$ . Thus, we conclude that

$$\begin{aligned} \langle x_n, kv \rangle &= \int_{(E_0 \setminus F_0) \cup \left(\bigcup_{i=1}^{\infty} E_i \setminus F_n\right)} x_n(t)kv(t)dt + \int_{F_0 \cup \left(\bigcup_{i=1}^m E_i \cap F_n\right) \cup \left(\bigcup_{i>m} E_i\right)} x_n(t)kv(t)dt \geq \\ &\geq \int_{(E_0 \setminus F_0) \cup \left(\bigcup_{i=1}^{\infty} E_i \setminus F_n\right)} (M(x_n(t)) + N(kv(t)))dt - o(\varepsilon) = \\ &= \int_G (M(x_n(t)) + N(kv(t)))dt - o(\varepsilon) = 1 + \rho_N(kv) - o(\varepsilon) = k - o(\varepsilon). \end{aligned}$$

This implies  $\langle x_n, v \rangle > 1 - o(\varepsilon)$ , i.e.  $\langle x_n, v \rangle \rightarrow 1$ . Hence  $x$  is an  $U$ -point.

*Corollary 2.  $L_M$  has local U-property iff*

- (i)  $M \in \Delta_2$

- (ii) for any SAI  $[a, b]$  of  $M$ ,  $p_-(a) = p(a) = p_-(b) = p(b)$ ,  
 (iii)  $M \in SC[0, \infty)$  or  $M \in \nabla_2$ .

*Proof of necessity.* If  $L_M$  has local  $U$ -property, then it has quasi- $U$ -property. So (i) and (ii) are satisfied. If (iii) is not true, then  $M \notin \nabla_2$  and there exists a SAI  $[a, b]$  of  $M$ . Pick  $E \subset G$ ,  $F \subset G \setminus E$  and  $c$  large enough such that  $0 < \mu E < \mu G$  and  $M(b)\mu E + M(c)\mu F = 1$ . Set  $x = b|_E + c|_F$ . Then  $\rho_M(x) = 1$  and  $\mu\{t \in G : x(t) \in (a, b)\} \geq \mu E > 0$ .

Hence,  $x \in S(L_M)$  is not  $U$ -point. Therefore  $L_M$  cannot have local  $U$ -property. This contradiction proves (iii).

*Proof of sufficiency.* Using the same argumentation as in the proof of Corollary 1, conditions (i) and (ii) of Theorem 2 hold true. If  $M \in \nabla_2$  or  $M \in SC[0, \infty)$ , then  $\mu\{t \in G : |x(t)| \in (a, b)\} = \mu \emptyset = 0$ . Hence  $x$  is an  $U$ -point and consequently  $L_M$  has local  $U$ -property.

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